# Annexure 01 – Letter of Authorization for Submission of Response

To,

The RFP Co-ordinator – Empanelment of Human Resource Recruitment Consultants for hiring manpower at Junior and Middle Management level positions in the Bank & Bank's wholly owned subsidiaries Bank of Baroda Head Office. Vadodara

Dear Sir,

SUB: Authorization Letter for submitting RFP proposal documents.

REF: Your RFP:HRM:116/1662 dated 23rd July 2024

This has reference to your above RFP for Empanelment of Human Resource Recruitment Consultants for hiring manpower at Junior and Middle Management level positions in the Bank & Bank's wholly owned subsidiaries

Mr./Ms...... is hereby authorized to submit the response documents, to submit sealed response, and to sign any documents pertaining to the RFP on behalf of our organization for all the services required by the Bank as called for vide the Bank's RFP as referred to above, on behalf of our organization. He/ She is also authorized to take decisions on behalf of the company till the RFP process is completed.

Certified photocopy of Power of Attorney (POA) of the person authorizing such person is duly submitted. We hereby extend our full guarantee and warranty as per Clauses of Contract for the goods and services offered for supply by the Organization against this RFP.

The specimen signature is attested below:

Specimen signature of the Representative

Signature of the Authorizing Authority

Name of the Authorizing Authority (Certified Xerox copy of POA of authorized Signatory/authority is to be submitted)

#### Note:

This letter of authority should be on the letterhead of the principal on whose behalf the proposal is submitted and should be signed by a person competent and having the power of attorney to bind the principal. It should be included by the Organization in its proposal

# Annexure 03 – Covering letter from the Bidder

To

The Dy. General Manager – HRM (RFP Co-ordinator)
Bank of Baroda
Baroda Bhavan
Alkapuri, Baroda
Gujarat - 390007

Sir,

Sub: Request for proposal (RFP) for Empanelment of Human Resource Recruitment Consultants for hiring manpower at Junior and Middle Management level positions in the Bank & Bank's wholly owned subsidiaries

1. Having examined the Tender Documents including all Annexures and Appendices, the receipt of which is hereby duly acknowledged, we, the undersigned offer to supply, deliver, implement and commission ALL the items/activities mentioned in the 'Request for Proposal' and the other schedules of requirements and services for your bank in conformity with the said Tender Documents in accordance with the schedule of Prices indicated in the Price Bid and made part of this Tender.

We also submit required information along with documentary evidence in following format:

Parameter	Response – Specify wherever relevant	
Name of the Company and nature of business		
Holding company or Parent Company (if any)		
Company address		
Details of Ownership		
Name of the Parent Company (if any)		
Year of commencement of business		
Names of all the Directors	1.	
(Applicant can add more records, if required)	2.	
	3.	
Name of the key person with contact details		
Annual Turnover and Net Profit (in INR)	Annual Turnover Net Profit	
Year 2021-22		
Year 2022-23		
Year 2023-24		
No. of employees working:		

Major Change in Management in the last	
three years	
Certifications	
1. With any Government /its agencies	
2. With any other agency	
, , ,	
Name the Awards/ Accolades/ Recognition	
won for the application developed and	
deployed.	
Names of the Banks for which similar work	
had been undertaken	

- 2. If our Bid is accepted, we undertake to comply with the delivery schedule as mentioned in the Tender Document.
- 3. We agree to abide by this Tender Offer for 180 days from date of bid opening and our Offer shall remain binding on us and may be accepted by the Bank any time before expiry of the offer.
- 4. This Bid, together with your written acceptance thereof and your notification of award, shall constitute a binding Contract between us.
- 5. We undertake that in competing for and if the award is made to us, in executing the subject Contract, we will strictly observe the laws against fraud and corruption in force in India namely "Prevention of Corruption Act 1988".
- 6. We agree that the Bank is not bound to accept the lowest or any Bid the Bank may receive.
- 7. We certify that we have provided all the information requested by the bank in the format requested for. We also understand that the bank has the exclusive right to reject this offer in case the bank is of the opinion that the required information is not provided or is provided in a different format.

Dated thisby
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Yours faithfully,

Authorized Signatory
Name:
Designation:
Bidder's Corporate Name
Address
Email and Phone #

Annexure – 04 Declaration/ undertaking from bidder regarding applicability of restrictions on procurement from a bidder of a country which shares a land border with India as per the order no. 6/18/2019-PPD dated 23rd July 2020 issued by Ministry of Finance department of expenditure

# (This letter should be on the letterhead of the Bidder duly signed by an authorized signatory

Tο The Dy General Manager – HRM (RFP Co-ordinator) Bank of Baroda Baroda Bhavan. Alkapuri, Baroda Gujarat - 390007 Sir. We, M/s ----- are a private/public limited company/LLP/Firm <strike off whichever is not applicable>incorporated under the provisions of the Companies Act, 1956/2013 Limited Liability Partnership Act 2008/ Indian Partnership Act 1932, having our registered office at -----(referred to as the "Bidder") are desirous of participating in the Tender Process in response to your captioned RFP and in this connection we hereby declare, confirm and agree as under: We, the Bidder have read and understood the contents of the RFP and Office Memorandum & the Order (Public Procurement No.1) both bearing no. F.No.6/18/2019/PPD of 23rd July 2020 issued by Ministry of Finance, Government of India on insertion of Rule 144 (xi) in the General Financial Rules (GFRs) 2017 and the amendments & clarifications thereto, regarding restrictions on availing/procurement of goods and services, of any Bidder from a country which shares a land border with India and / or sub-contracting to contractors from such countries. In terms of the above and after having gone through the said amendments including in particular the words defined therein (which shall have the same meaning for the purpose of this Declaration cum Undertaking), we the Bidder hereby declare and confirm that: Please strike off whichever is not applicable 1. "I/ we have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India; I/ we certify that is not from such a country." 2. "I/ we have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India; I/ we certify that \_\_\_\_\_ is from such a country. I hereby certify that fulfills all requirements in this regard and is eligible to be considered. [Valid registration by the Competent Authority is attached.]" In case the work awarded to us, I/we undertake that I/we shall not subcontract any of assigned work under this engagement without the prior permission of bank. Further we undertake that I/we have read the clause regarding restrictions on

RFP for Empanelment of Recruitment Consultants for hiring manpower at Junior and Middle Management Level positions in the Bank & Bank's wholly owned subsidiaries

procurement from a bidder of a country which shares a land border with India and on sub-contracting to contractors from such countries; I certify that our subcontractor is not from such a country or, if from such a country, has been registered with the Competent Authority and will not sub-contract any work to a contractor from such countries unless such contractor is registered with the Competent Authority. I hereby certify that our subcontractor fulfills all requirements in this regard and is eligible to be considered. [Valid registration by the Competent Authority is attached herewith.]"

2. We, hereby confirm that we fulfill all the eligibility criteria as per the office memorandum/ order mentioned above and RFP and we are eligible to participate in the Tender process.

We also agree and accept that if our declaration and confirmation is found to be false at any point of time including after awarding the contract, Bank shall be within its right to forthwith terminate the contract/ bid without notice to us and initiate such action including legal action in accordance with law. Bank shall also be within its right to forfeit the security deposits/ earnest money provided by us and also recover from us the loss and damages sustained by the Bank on account of the above.

3. This declaration cum undertaking is executed by us or through our Authorized person, after having read and understood the terms of RFP and the Office Memorandum and Order.

Dated this	by	2024
	•	
Yours faithfully,		

Authorized Signatory Name: Designation: Bidder's Corporate Name Address

Email and Phone #

List of documents enclosed:

1. Copy of certificate of valid registration with the Competent Authority (strike off if not applicable)

# Annexure 05 – Bid Fees (Application Money) Letter To, The Dy General Manager – HRM (RFP Co-ordinator) Bank of Baroda Baroda Bhavan, Alkapuri, Baroda Gujarat - 390007 Sir, Sub: Request for proposal (RFP) for Empanelment of Human Resource Recruitment Consultants for hiring manpower at Junior and Middle Management level positions in the Bank & Bank's wholly owned subsidiaries We ...... having our registered office at ...... (herein after called the 'BIDDER') are offering Application Money Deposit as per details below for consideration of the bid of the above mentioned Bidder. **Amount:** Rs. \_\_\_\_\_ /- (Rupees \_\_\_\_\_ Only) **Mode:** Online Transfer Payment Type: RTGS (Real Time Gross Settlement) / NEFT (National Electronics Fund Transfer) UTR / Txn ref. No. \_\_\_\_\_ Txn Date: \_\_\_\_\_ Remitting Bank: \_\_\_\_\_ Remitting Bank IFSC Code: Beneficiary Account: Bank of Baroda, Bank Account No. 02000400000062 Beneficiary Bank IFSC Code : BARBOALKAPU (5<sup>th</sup> Character is Zero) The details of the transaction viz. scanned copy of the receipt of making transaction or Micro and Small Enterprises (MSE) / Startups Certificate (if EMD not applicable)to be enclosed. The Bank at its discretion, may reject the bid if the application money doesn't reflect in beneficiary account as per details furnished above. Yours faithfully. **Authorized Signatory** Name: **Designation: Bidder's Corporate Name** Address Email and Phone #

Annexure 06(A) – Bid Security Letter
То,
The Dy General Manager – HRM (RFP Co-ordinator) Bank of Baroda Baroda Bhavan, Alkapuri, Baroda Gujarat - 390007
Sir,
Sub: Request for proposal (RFP) for Empanelment of Human Resource Recruitment Consultants for hiring manpower at Junior and Middle Management level positions in the Bank & Bank's wholly owned subsidiaries
We having our registered office at
Amount: Rs/- (Rupees Only)
Mode: Online Transfer/Bank Guarantee
<b>Payment Type:</b> RTGS (Real Time Gross Settlement) / NEFT (National Electronics Fund Transfer)//Bank Guarantee
UTR / Txn ref. No
Txn Date:
Remitting Bank:
Remitting Bank IFSC Code:
Beneficiary Account: Bank of Baroda, Bank Account No. 02000400000062
Beneficiary Bank IFSC Code: BARB0ALKAPU (5 <sup>th</sup> Character is Zero)
The details of the transaction viz. scanned copy of the receipt of making transaction or Bank Guarantee or Micro and Small Enterprises (MSE) / Startups Certificate (if EMD not applicable) to be enclosed.
The Bank at its discretion, may reject the bid if the EMD money doesn't reflect in beneficiary account or BG not received as per details furnished above.
Account Details for refund of Bid Security (Earnest Money Deposit) as per
terms & conditions mentioned in the Tender document
We
A/C Name:
A/C No (Company account details):
IFSC Code:
Bank Name:
RFP for Empanelment of Recruitment Consultants for hiring manpower at Junior and Middle Management Level

positions in the Bank & Bank's wholly owned subsidiaries

Bank of Baroda

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# **Bank Address:**

The details mentioned above is treated	as final & bank shall no	t be held responsible	
for any wrong/failed transaction due to any discrepancy in above details.			
Dated this	by	.2024	
Yours faithfully,			

Authorized Signatory Name: Designation: Bidder's Corporate Name Address Email and Phone #

# Annexure 06 (B) - Bid Security Form

Pro forma for Bank Guarantee in lieu of EMD

To,	Date DD-MM-YYYY
Bank of Baroda	
Dear Sir,	
Companies Act 195	(Company Name) registered under the Indian at the Adving its Registered Office at India (hereinafter referred to as "the
	offer its response to RFP No #: ( RFP details) nereinafter called the "RFP")
required to furnish a Bank issued by a Scheduled Co	of the conditions as stipulated in the RFP, the VENDOR is Guarantee in lieu of the Earnest Money Deposit (EMD), mmercial Bank in India in your favour to secure the order of the RFP Document (which guarantee is hereinafter called
AND WHEREAS at the red RFP WE,	the VENDOR has approached us,
	, through our local office at ou the Bank GUARANTEE in manner hereinafter contained ows:
under this Guarant undertake to indem extent of Rs. 3, 00,0 EMD against any leads to or suffered of the vender. any of the vender and event of the VEND work or discharging otherwise in the conditions relating thereof, we shall for exceeding the sum	miles, undertake to pay the amounts due and payable ee without any demur, merely on demand from you and nify you and keep you indemnified from time to time to the 100/- (Rupees three lakhs only) an amount equivalent to the coss or damage caused to or suffered by or that may be not be a do by you on account of any breach or breaches on the part of the terms and conditions contained in the RFP and in the OR commits default or defaults in carrying out any of the g any obligation in relation thereto under the RFP or observance and performance of any of the terms and thereto in accordance with the true intent and meaning orthwith on demand pay to you such sum or sums not of Rs. 3,00,000/- (Rupees three lakhs only) as may be account of breach on the part of the VENDOR of their of the RFP.

- 2. Notwithstanding anything to the contrary contained herein or elsewhere, we agree that your decision as to whether the VENDOR has committed any such default or defaults and the amount or amounts to which you are entitled by reasons thereof will be binding on us and we shall not be entitled to ask you to establish your claim or claims under Bank Guarantee but will pay the same forthwith on your demand without any protest or demur.
- 3. This Bank Guarantee shall continue and hold good until it is released by you on the application by the VENDOR after expiry of the relative guarantee period of the RFP and after the VENDOR had discharged all his obligations under the RFP and produced a certificate of due completion of work under the said RFP and submitted a "No Demand Certificate "provided always that the guarantee shall in no event remain in force after the day of ....................... without prejudice to your claim or claims arisen and demanded from or otherwise notified to us in writing before the expiry of the said date which will be enforceable against us notwithstanding that the same is or are enforced after the said date.
- 4. Should it be necessary to extend Bank Guarantee on account of any reason whatsoever, we undertake to extend the period of Bank Guarantee on your request under intimation to the VENDOR till such time as may be required by you. Your decision in this respect shall be final and binding on us.
- 6. The Bank Guarantee shall not in any way be affected by your taking or giving up any securities from the VENDOR or any other person, firm or company on its behalf or by the winding up, dissolution, insolvency or death as the case may be of the VENDOR.
- 7. In order to give full effect to the guarantee herein contained, you shall be entitled to act as if we were your principal debtors in respect of all your claims against the VENDOR hereby guaranteed by us as aforesaid and we hereby expressly waive all our rights of surety ship and other rights, if any, which are in any way inconsistent with any of the provisions of Bank Guarantee.

- 8. Subject to the maximum limit of our liability as aforesaid, Bank Guarantee will cover all your claim or claims against the VENDOR from time to time arising out of or in relation to the said RFP and in respect of which your claim in writing is lodged on us before expiry of Bank Guarantee.
- Any notice by way of demand or otherwise hereunder may be sent by courier, telex, fax, e-mail or registered post to our local address as aforesaid and if sent accordingly it shall be deemed to have been given when the same has been posted.
- 10. The Bank Guarantee and the powers and provisions herein contained are in addition to and not by way of limitation of or substitution for any other guarantee or guarantees here before given to you by us (whether jointly with others or alone) and now existing un-cancelled and that Bank Guarantee is not intended to and shall not revoke or limit such guarantee or guarantees.
- 11. The Bank Guarantee shall not be affected by any change in the constitution of the VENDOR or us nor shall it be affected by any change in your constitution or by any amalgamation or absorption thereof or therewith but will ensure to the benefit of and be available to and be enforceable by the absorbing or amalgamated company or concern.
- 12. The Bank Guarantee shall come into force from the date of its execution and shall not be revoked by us any time during its currency without your previous consent in writing.
- 13. We further agree and undertake to pay you the amount demanded by you in writing irrespective of any dispute or controversy between you and the VENDOR.
- 14. Notwithstanding anything contained herein above;
  - i) Our liability under this Guarantee shall not exceed Rs. 3,00,000/- (Rupees three lakhs only):
  - ii) This Bank Guarantee shall be valid up to and including the date ...... and
  - iii) We are liable to pay the guaranteed amount or any part thereof under this Bank Guarantee only and only if you serve upon us a written claim or demand on or before the expiry of this guarantee.
- 15. We have the power to issue this Bank Guarantee in your favour under the Memorandum and Articles of Association of our Bank and the undersigned has full power to execute this Bank Guarantee under the Power of Attorney issued by the Bank.

For and on behalf of

Branch Manager

Seal & Address

## Annexure 07-Pre-Bid Queries Form

Τo

The Dy General Manager – HRM (RFP Co-ordinator)
Bank of Baroda
Baroda Bhavan
Alkapuri, Baroda
Gujarat - 390007

Sir,

Sub: Request for proposal (RFP) for Empanelment of Human Resource Recruitment Consultants for hiring manpower at Junior and Middle Management level positions in the Bank & Bank's wholly owned subsidiaries

We refer to the above RFP floated by the Bank and wish to seek clarifications w.r.t the below mentioned:

Sn	Reference Clause	Reference Page	Query/Clarification sought

Yours faithfully,

Authorized Signatory Name: Designation: Bidder's Corporate Name Address Email and Phone # Date:

# **Annexure 08–Conformity Letter**

# (This letter should be on the letterhead of the Bidder duly signed by an authorized signatory)

То

The Dy General Manager – HRM (RFP Co-ordinator)
Bank of Baroda
Baroda Bhavan
Alkapuri, Baroda
Gujarat - 390007

Sir,

Sub: Request for proposal (RFP) for Empanelment of Human Resource Recruitment Consultants for hiring manpower at Junior and Middle Management level positions in the Bank & Bank's wholly owned subsidiaries

Further to our proposal dated ......, in response to the Request for Proposal...... (Bank's tender No. hereinafter referred to as "RFP") issued by Bank of Baroda ("Bank") we hereby covenant, warrant and confirm as follows:

We hereby agree to comply with all the terms and conditions / stipulations as contained in the RFP and the related addendums and other documents including the changes made to the original tender documents issued by the Bank shall form a valid and binding part of the aforesaid RFP document. The Bank is not bound by any other extraneous matters or deviations, even if mentioned by us elsewhere either in our proposal or any subsequent deviations sought by us, whether orally or in writing, and the Bank's decision not to accept any such extraneous conditions and deviations will be final and binding on us.

Yours faithfully,

Authorized Signatory Name: Designation: Bidder's Corporate Name Address Email and Phone #

# **Annexure 09-Performance Bank Guarantee BANK GUARANTEE**

(FORMAT OF PERFORMANCE BANK GUARANTEE)

To

The Chief General Manager – HRM Bank of Baroda Baroda Bhavan Alkapuri, Baroda Gujarat - 390007

WHEREAS M/S	at ed o.
AND WHEREAS, in terms of the conditions as stipulated in the PO and the Requestor Proposal document No Dated for	or
(hereinafter referred to as "RFP"), the Bidder is required to furnish a Performance Bark Guarantee issued by a Public Sector Bank/ schedule commercial bank in India other than the Bank of Baroda in your favour for Rs/- towards duperformance of the contract in accordance with the specifications, terms and conditions of the purchase order and RFP document (which guarantee is hereinafted as "BANK GUARANTEE").	nk er ue nd
AND WHEREAS the Bidder has approached us for providing the BANK GUARANTER	Ξ.
AND WHEREAS at the request of the Bidder, WE	
Companies Acquisition and Transfer of Undertakings Act,1970/1980 having it	's ia
THEREFORE, WE, (name of Bank and it's address of the second secon	at
1. We	ee ou
only) against any loss or damage caused to or suffered by or that may be cause to or suffered by you on account of any breach or breaches on the part of the Bidder of any of the terms and conditions contained in the PO and RFP / SLA and suffered by the suffered by or that may be caused to or suffered by or that may be caused	ne

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RFP for Empanelment of Recruitment Consultants for hiring manpower at Junior and Middle Management Level

positions in the Bank & Bank's wholly owned subsidiaries

- 2. Notwithstanding anything to the contrary contained herein or elsewhere, we agree that your decision as to whether the Bidder has committed any such breach/default or defaults and the amount or amounts to which you are entitled by reasons thereof will be binding on us and we shall not be entitled to ask you to establish your claim or claims under Bank Guarantee, but will pay the same forthwith on your demand without any protest or demur. Any such demand made by Bank of Baroda shall be conclusive as regards the amount due and payable by us to you.
- 4. You will have the fullest liberty without our consent and without affecting our liabilities under this Bank Guarantee from time to time to vary any of the terms and conditions of the PO and RFP or extend the time of performance of the contract or to postpone for any time or from time to time any of your rights or powers against the Bidder and either to enforce or forbear to enforce any of the terms and conditions of the said PO and RFP and we shall not be released from our liability under Bank Guarantee by exercise of your liberty with reference to matters aforesaid or by reason of any time being given to the Bidder or any other forbearance, act or omission on your part or any indulgence by you to the Bidder or any other act, matter or things whatsoever which under law relating to sureties, would but for the provisions hereof have the effect of so releasing us from our liability hereunder provided always that nothing herein contained will enlarge our liability hereunder beyond the limit of Rs. ...../-( Rupees...../ ...... only) as aforesaid or extend the period of the guarantee beyond the said ...... (date) unless expressly agreed to by us in writing.
- 5. The Bank Guarantee shall not in any way be affected by your taking or giving up any securities from the Bidder or any other person, firm or company on its behalf or by the winding up, dissolution, insolvency or death as the case may be of the Bidder.
- 6. In order to give full effect to the guarantee herein contained, you shall be entitled to act as if we were your principal debtors in respect of all your claims against the Bidder hereby guaranteed by us as aforesaid and we hereby expressly waive all our rights of surety ship and other rights, if any, which are in any way inconsistent with any of the provisions of Bank Guarantee.
- 7. Subject to the maximum limit of our liability as aforesaid, Bank Guarantee will cover all your claim or claims against the Bidder from time to time arising out of or

- in relation to the PO and RFP and in respect of which your claim in writing is lodged on us before expiry of Bank Guarantee.
- 8. Any notice by way of demand or otherwise hereunder may be sent by special courier, telex, fax or registered post to our local address as aforesaid and if sent accordingly it shall be deemed to have been given when the same has been posted.
- 9. The Bank Guarantee and the powers and provisions herein contained are in addition to and not by way of limitation of or substitution for any other guarantee or guarantees hereto before given to you by us (whether jointly with others or alone) and now existing enforce and this Bank Guarantee is not intended to and shall not revoke or limit such guarantee or guarantees.
- 10. The Bank Guarantee shall not be affected by any change in the constitution of the Bidder or us nor shall it be affected by any change in your constitution or by any amalgamation or absorption thereof or therewith but will ensure to the benefit of and be available to and be enforceable by the absorbing or amalgamated company or concern.
- 11. The Bank Guarantee shall come into force from the date of its execution and shall not be revoked by us any time during its currency without your previous consent in writing.
- 12. We further agree and undertake to pay you the amount demanded by you in writing irrespective of any dispute or controversy between you and the Bidder in any suit or proceeding pending before any court or Tribunal relating thereto, our liability under this present being absolute and unequivocal. The payments so made by us shall be a valid discharge of our liability for payment here under and the Bidder shall have no claim against us for making such payment.
- 13. Notwithstanding anything contained herein above;
  a. Our liability under this Guarantee shall not exceed Rs. ....../- (Rupees ......only)
  - b. This Bank Guarantee shall be valid and remain in force upto and including the date ...... and
  - c. We are liable to pay the guaranteed amount or any part thereof under this Bank Guarantee only and only if you serve upon us a written claim or demand on or before the expiry of this guarantee.
- 14. We have the power to issue this Bank Guarantee in your favour under the Memorandum and Articles of Association of our Bank and the undersigned has full power to execute this Bank Guarantee under the Power of Attorney issued by the Bank.

Dated this the	day of	 	,20
For and on behalf of	-		
Branch Manager			
Seal and Address			

# **Annexure 10 – Integrity Pact**

# PRE CONTRACT INTEGRITY PACT (TO BE STAMPED AS AN AGREEMENT)

#### Between

BANK OF BARODA, a body corporate constituted under the provisions of Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970 and having its Corporate Office at Baroda Corporate Centre, C-26, G-Block, Bandra-Kurla Complex, Bandra East, Mumbai 400051 (hereinafter referred to as "BOB"; which expression shall. unless it be repugnant to the meaning or context thereof, be deemed to mean and

include its successors and assigns);
And
whose registered office is at through its authorized representative Mr hereinafter referred to as "Bidder", which expression shall, unless it be repugnant to the meaning or context thereof, be deemed to mean and include its successors and permitted assigns)
Preamble
BOB is a one of the nationalized PSU Bank having its presence throughout India and overseas territories. BOB is committed to fair and transparent procedure in appointing of its outsource Consultant / Service Providers.
The BOB intends to appoint/ select, under laid down organizational procedures contract/ s for
BOB values full compliance with all relevant laws of the land, rules, regulations economic use of resources and of fairness / transparency in its relations with its Bidder(s) and / or Contractor(s).
Section 1 - Commitments of BOB

- (1) The BOB commits itself to take all measures necessary to prevent corruption and to observe the following principles:-
- a. No employee of the BOB, personally or through its family members, will in connection with the tender for , or the execution of a contract, demand : take a promise for or accept, for self or third person, any monetary or non-monetary benefit which the person is not legally entitled to.
- b. The BOB will, during the tender process treat all Bidder(s) with equity and reason. The BOB will in particular, before and during the tender process, provide to all Bidder(s) the same information and will not provide to any Bidder(s) confidential / additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.
- c. The BOB will make endeavor to exclude from the selection process all known prejudiced persons.

(2) If the BOB obtains information on the conduct of any of its employees which is a criminal offence under the IPC/ PC Act, or if there be a substantive suspicion in this regard, the BOB will inform the Chief Vigilance Officer and in addition can initiate disciplinary actions.

# Section 2 - Commitments of the Bidder(s)/ Contractor(s)

- (1) The Bidder(s) / Contractor(s) commit themselves to take all measures necessary to prevent corruption. The Bidder(s) / Contractor(s) commit themselves to observe the following principles during participation in the tender process and during the contract execution.
  - a. The Bidder(s) / Contractor(s) will not, directly or through any other person or firm, offer, promise or give to any of the BOB employees involved in the tender process or the execution of the contract or to any third person any material or other benefit which he/ she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract
  - b. The Bidder(s) / Contractor(s) will not enter with other Bidders into any undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.
  - c. The Bidder(s)/ Contractor(s) will not commit any offence under the relevant IPC/ PC Act; further the Bidder(s) / Contractor(s) will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the BOB as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.
  - d. The Bidder(s) / Contractors(s) of foreign origin shall disclose the name and address of the Agents/ representatives in India, if any, similarly the Bidder(s) /Contractors(s) of Indian Nationality shall furnish the name and address of the foreign principals, if any.
  - e. The Bidder(s) / Contractor(s) will, when presenting their bid, disclose any and all payments made, is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract.
  - f. Bidder(s) / Contractor(s) who have signed the Integrity Pact shall not approach the Courts while representing the matter to IEMs and shall wait for their decision in the matter.
  - g. The Bidder(s) / Contractor(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.

# Section 3 - Disqualification from tender process and exclusion from future contracts

If the Bidder(s) /Contractor(s), before award or during execution has committed a transgression through a violation of Section 2, above or in any other form such as to put their reliability or credibility in question, the BOB is entitled to disqualify the Bidder(s) / Contractor(s) from the tender process or take action as per law in force.

# Section 4 - Compensation for Damages

- (1) If the BOB has disqualified the Bidder(s) from the tender process prior to the award according to Section 3, the BOB is entitled to demand and recover the damages equivalent to Earnest Money Deposit/ Bid Security.
- (2) If the BOB has terminated the contract according to Section 3, or if the BOB is entitled to terminate the contract according to Section 3, the BOB shall be entitled to demand and recover from the Contractor liquidated damages of the Contract value or the amount equivalent to Performance Bank Guarantee.

# Section 5 - Previous transgression

- (1) The Bidder declares that no previous transgressions occurred in the last three years with any other Company in any country conforming to the anti-corruption approach or with any Public Sector Enterprise in India that could justify his exclusion from the tender process.
- (2) If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process

# Section 6 - Equal treatment of all Bidders I Contractors I Subcontractors

- (1) In case of Sub-contracting, the Principal Contractor shall take the responsibility of the adoption of Integrity Pact by the Sub-contractor.
- (2) The BOB will enter into agreements with identical conditions as this one with all Bidders and Contractors.
- (3) The BOB will disqualify from the tender process all bidders who do not sign this Pact or violate its provisions.

# Section 7 - Criminal charges against violating Bidder(s) I Contractor(s) Subcontractor(s)

If the BOB obtains knowledge of conduct of a Bidder, Contractor or Subcontractor, or of an employee or a representative or an associate of a Bidder, Contractor or Subcontractor which constitutes corruption, or if the BOB has substantive suspicion in this regard, the BOB will inform the same to the Chief Vigilance Officer.

## Section 8 - Pact Duration

This Pact shall be effective from the date of its execution and shall expire for the selected Contractor till the contract period, and for all other Bidders 6 months after the contract has been awarded. Any violation of the same would entail disqualification of the bidders and exclusion from future business dealings.

## Section 9 - Other provisions

- (1) This agreement is subject to Indian Law and court of Mumbai shall have exclusive jurisdiction to entertain any matter arising out of this pact.
- (2) Changes and supplements as well as termination notices need to be made in writing.
- (3) If the Contractor is a partnership or a consortium, this agreement must be signed

by all partners or consortium members.

- (4) Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.
- (5) Issues like scope of work, Warranty / Guarantee etc. shall be outside the purview of IEMs.
- (6) In the event of any contradiction between the Integrity Pact and RFP/ RFQ/ tender documents and its Annexure, the Clause in the Integrity Pact will prevail.

_ on
BIDDER
Chief Executive Officer
Department:
Date:
Place:
Witness
1
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