

Addendum to RFP Reference No. RFP:CIAD:115/1 Dated 3rd January 2023

Request for Proposal (RFP) for Appointment of -1- Chartered Accountant Firm to carry out Concurrent Audits of Branches/CBOs/Other Units for each of the following Zones / Groups of Bank of Baroda namely Lucknow Zone (Group I) & Lucknow Zone (Group II) for -12- months from 01-03-2023 to 28-02-2024.

It is for information to all concerned that modifications has been done through this addendum to RFP under Annexure 3.1 – Undertaking for Technical Evaluation (Page no. 47 & 48).

Annexure 3.1 Undertaking for Technical Evaluation

Date:

To

The RFP Co-ordinator
Assistant General Manager,
IS Audit Department
2nd Floor, Baroda Corporate Centre
C-26, 'G' Block
Bandra Kurla Complex, Bandra East
Mumbai 400051

Sir,

Sub: RFP Reference No. RFP: CIAD: 115/1 Dated 3rd January 2023.

Having examined the above RFP including all Annexure, the undersigned submit following information and supporting document for technical evaluation.

1. Profile (As per certificate of registration with ICAI)	
MEF No.	
Date of establishment of Firm	
Head Office located at (As per Firm Constitution Certificate issued by ICAI)	
Branch Office located at (As per Firm Constitution Certificate issued by ICAI)	
No of full time FCA Partners	
No. of full time ACA Partner	
No of Full-time Employees (Qualified CA)	
No of Part-time Employees	
No of CISA / DISA certified Partner / Full time qualified employees	
No. of Partners / Full time qualified employees have completed Certificate course by ICAI on Anti Money laundering Laws / Forex & Treasury Management / Concurrent Audit of Bank / Forensic Accounting & Fraud Detection or Internal Audit	

Certificate of registration with ICAI / Firm Constitution Certificate & Certificates of CISA / DISA & Certification Course of ICAI on Anti Money Laundering Laws / Forex & Treasury Management /

Concurrent Audit of Bank / Forensic Accounting & Fraud Detection or Internal Audit of Partners / Full time qualified employees are attached herewith.

2. Experience – Concurrent Branch Audit (Last Five Financial Years) of Schedule Commercial Bank in Geographical area of Zone / Group* excluding Regional Rural Banks, Co-operative Banks, Small Finance Banks & Payment Banks.		
Sr. No.	Bank & Branch	From – To Date
1.		
2.		
3.		
4.		
5.		
6.		
7.		
8.		

Engagement letter received from Bank for Concurrent Audit during last five years is attached herewith.

3. Experience – SBA (Last Five Financial Years) of Schedule Commercial Bank excluding Regional Rural Banks, Co-operative Banks, Small Finance Banks & Payment Banks.		
Sr. No.	Bank & Branch	From – To Date
1.		
2.		
3.		
4.		
5.		

Engagement letter received from Bank for assignment of Statutory Branch Audit during last five years is attached herewith.

4. Experience – Engagement of Firm for carrying out Forensic Audit or ASM work during last Five Financial Years of Schedule Commercial Bank excluding Regional Rural Banks, Co-operative Banks, Small Finance Banks & Payment Banks..			
Sr. No.	Bank	Date of engagement	Reference Page No. in Technical proposal
1.			
2.			
3.			
4.			
5.			

Engagement letter received from Bank is attached herewith.

Signature of the Authorized Signatory

Name:

Designation:

Name of the Firm:

Address: